Docket No.: 82402-6402

| FQRM PTQ-1595 (Modified) (Rev. 03-01) | RE | CORDATION FO | ORM COVER SHE | ET | | J.S. DEPARTMENT OF COMMERCE | |
|---|----------------------------------|--------------------|---|---------------------------|---------------------|-----------------------------|--|
| OMB No. 9651-9027 (exp.5/31/2002) P08/REV04 | | PATENT | TS ONLY | | Pater | nt and Trademark Offic | |
| Tab settings → → → ▼ | ▼ | ▼ | ▼ | ▼ | | ▼ ▼ | |
| To the Director of the United St | ates Patent ar | d Trademark Offic | ce: Please record th | e attached orig | inal documents | or copy thereof. | |
| Name of conveying party(ies): Jung Han | | | Name and address of receiving party(ies): Name: The University of Manitoba | | | | |
| | | | Internal Addr | ress: 631 Dra | ke Center | | |
| Additional names(s) of conveying party(ie | Winnipeg Manitoba Canada R3T 5V4 | | | | | | |
| 3. Nature of conveyance: | | | | | | | |
| Assignment ■ Assignment | 🗀 Merg | er | Street Addre | ss: | | | |
| ☐ Security Agreement | ☐ Char | nge of Name | | | | | |
| Other | | | City | | State: | ZIP: | |
| Execution Date: 27th November 2006 | | | | | Ştate | ZIF | |
| | | | Additional name(| (s) & address(e | s) attached? | Yes 🗆 No | |
| 4. Application number(s) or patent | | | - 45 | f tha anni | !4! <u>]</u> _, | | |
| If this document is being filed to | _ | | | | ication is: | | |
| A. Patent Application No.(s) | Filin | g Date | B. Pate | ent No.(s) | | | |
| 10/564,679 | 12/2 | 2/2003 | | | | | |
| 5. Name and address of party to w concerning document should be | vhom corresp | onal numbers attac | ched? | ☑ No or of application | ns and patents | involved: 1 | |
| Name: ADE & COMPANY INC. | | | 7. Total fee (37 CFR 3.41):\$ 40.00 | | | | |
| Internal Address: 2157 Henderson Highway | | | - Enclosed - Any excess or insufficiency should be credited or debited to deposit account | | | | |
| Winnipeg Manitoba Canada R2G 1P9 | | | | | | | |
| TTIMIPEG ITURIODA CARAGA I | 2011/ | | ■ Authorize | ed to be charg | ged to deposit a | account | |
| Street Address: | | | 8. Deposit account number: | | | | |
| | | | 01-0310 | | | | |
| City: | _ State: | _ ZIP: | _ (Attach duplicat | te copy of this pag | ge if paying by dep | osit account) | |
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| Statement and signature. To the best of my knowledge ar of the original document. | | foregoing inform | nation is true and o | correct and an | | | |
| Michael R. Williams | | . <u> M</u> | With | | December 1 | | |
| Name of Person Signin Total put | _ | including cover s | Signature heet, attachments, a | and document: | 5 D | ate | |

<u>ASSIGNMENT</u>

Whereas, Jung Han whose full post office addresses are Tech Transfer Office 631 Drake Centre University of Manitoba Winnipeg Manitoba Canada R3T 5V4 (hereinafter called "ASSIGNOR") in consideration of One (\$1.00) Dollar and other good and valuable considerations paid to it by

UNIVERSITY OF MANITOBA

whose full post office address is Industry Liaison Office 631 Drake Centre University of Manitoba Winnipeg Manitoba Canada R3T 5V4 (hereinafter called the "ASSIGNEE") the receipt and sufficiency of which is hereby acknowledged, does hereby sell, assign, transfer and set over to the said ASSIGNEE, its successors and assigns, the entire right, title and interest, throughout the world, in and to the inventions and Letters Patents already granted, identified and described in the patents identified in the attached Schedule A, and/or all divisions, reissues, continuations, improvements, and extensions thereof, to be held and enjoyed by said ASSIGNEE, its successors, assigns or other legal representatives, to the full end of the term or terms for which said Letters Patents may be granted, as fully and entirely as the same would have been held and enjoyed by said ASSIGNOR if this assignment and sale had not been made;

For the consideration aforesaid, ASSIGNOR hereby covenants and agrees to and with the said ASSIGNEE, its successors and assigns, that whenever ASSIGNEES'S counsel or representative, or the counsel or representative of its successors or assigns, shall, advise that an amendment to, or a division of, or any other proceeding or action in connection with one or more of said Letters Patents, or inventions, including interference proceedings, is lawful and desirable, or that a reissue

or continuation or extension of one or more of said Letters Patents is lawful and desirable, ASSIGNOR will sign all documents, instruments, papers and drawings, take all rightful oaths and affidavits, and do all acts necessary or required to be done for said invention, or for the reissue or continuation or extension of the said Letters Patent, and will do all acts necessary or required to secure to the said ASSIGNEE, its successors, and assigns, the title to and full benefit of all rights hereby assigned, without charge to said ASSIGNEE or its successors or assigns, but at ASSIGNEE'S expense;

For the consideration aforesaid, ASSIGNOR has sold, assigned, transferred and set over and by these presents do sell, assign, transfer and set over unto the said ASSIGNEE, its successors, and assigns, or the nominees of any of them, the entire right, title and interest in and to any and all Letters Patents for said inventions granted in countries foreign to the United States and Canada, and in and to any Letters Patent identified and described in Schedule A.

and ASSIGNOR hereby covenants and agrees to sign all papers and drawings, take all rightful oaths, execute all rightful affidavits, and do all acts necessary or required to be done for the investing or confirming the right and title thereto in the ASSIGNEE, its successors, assigns or nominees, without charge to said ASSIGNEE, its successors, assigns or nominees, but at ASSIGNEE'S expense,

ASSIGNOR declares under penalty of perjury under the laws of the United States of America that ASSIGNOR has signed this document as ASSIGNOR'S own free act and that all of the foregoing is true and correct.

Signed at

This 27th day of November, 2006

WITNESS:

RECEIPT ACKNOWLEDGED:

University of Maritoba

Name: <u>NEBORAH MECALLUM</u>

Title: VP(nomin)

4 SCHEDULE A

COUNTRY ISSUE DATE

FILING DATE

PATENT NO:/ APPLICATION NO. TITLE

Canada

December 22, 2003

2,533,620

Dissolving film comprising a therapeutically active agent within the film or in a pouch

formed by the film

United States

10/564,679

Dissolving film comprising a therapeutically active agent within the film or in a pouch

formed by the film